

BANK RISK GOVERNANCE AND REGULATION

About

Risk management is a core competency of banks. Recent events such as the financial crises and major compliance breaches demonstrated that risk governance (including risk culture and risk strategy/appetite) is still not fully developed at many firms. Thus this course is focused on the qualitative elements of risk management, involving extensive group work and up-to-date case studies.

Learning objectives

Upon completion of this course, you will be able to understand the following concepts and their application in practice:

- Governance of risk management (Three lines of defence model, role of the CRO/CFO, roles of risk committees, link to compliance, internal audit and other functions);
- Risk strategy and appetite;
- Risk culture
- Regulatory requirements on risk governance aspects

Key concepts

- Principal-Agent theory;
- Three lines of defence concept;
- Corporate Governance Principles;
- Risk Culture

Faculty



[Prof. Dr. Thomas Kaiser](#)

Prof. Kaiser has been teaching master students on risk management for more than ten years. In his affiliation with KPMG, he is responsible for advising leading banks and insurance companies globally on Operational and Reputational Risk Management. Before joining KPMG, Prof. Kaiser has been with Deutsche Bank, Commerzbank and HypoVereinsbank specializing in Operational Risk and with WestLB working on market risk topics.

Prof. Kaiser is co-author of “An Introduction to Operational Risk” and co-editor of “Reputational Risk Management in Financial Institutions” and has written numerous articles. He is an associate editor of the “Journal of Operational Risk” and a regular speaker on Risk Management at national and international conferences as well as a member of the advisory board of FIRM (Frankfurt Institute of Risk Management and Regulation).

Course format

This course is offered in the part-time Master in Finance program and may be attended on a “**no credit**” basis by individuals not enrolled in the program. Course participants are visitors who are not responsible for assignments and do not take an exam or earn academic credits. As the number of seats in the course is limited, we recommend to [register online](#) early.

Course materials

Course materials except books will be provided in electronic form.

Language

English

Course schedule

Date	Sessions
Sat., Apr 27, 2019	09:00-11:00 11:30-13:30
Fri., May 10, 2019	13:00-15:00 15:30-17:30
Sat., July 6, 2019	09:00-11:00 11:30-13:30

Course fee*

€ 950 (fee is exempt from VAT). Goethe Business School students and alumni receive a **20% discount** off the regular fee.

Certificate of participation

A Goethe Business School certificate of participation is awarded upon completion of the course.

Venue

House of Finance (Room tba), Goethe University, Theodor-W.-Adorno-Platz 3, 60323 Frankfurt am Main.

***Withdrawal and fee refund**

In case the course withdrawal request is received two weeks prior to the start of classes, we will retain a withdrawal fee of EUR 50. In case the course withdrawal request is received less than two weeks prior to the start of classes, we will retain 50% of the payment made.

Contact

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